# Kai Yan

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### Research Interest

My research interest is deep learning for better decision making. I have worked on the following fields: 1) reinforcement learning with demonstrations / imitation learning, 2) multi-agent reinforcement learning, 3) prediction-and-optimization algorithms, 4) decision transformer, 5) LLM agent, 6) LLM many-shot in-context learning.

### **Education**

### University of Illinois Urbana-Champaign

Ph.D. in Computer Science

### **Peking University**

B.S. in Computer Science

- Member of the Turing Class honor program; graduate with Summa Cum Laude
- Serve as the vice president of the student union for the school of EECS; organize multiple large events with hundreds of participants

## Academic Experience

## University of Illinois Urbana-Champaign

- Graduate Research Assistant (Co-advised by Prof. Alexander G. Schwing and Prof. Yuxiong Wang)
- Work on demonstration-guided reinforcement learning, imitation learning and Large Language Model reasoning / decision-making
- Experience in normalizing flows, convex optimization, optimal transport, decision transformer and LLM with MCTS search

### Robotics Institute @ Carnegie Mellon University

#### Visiting Student for Summer Research (Advised by Prof. Changliu Liu)

- Build a pandemic simulator with reinforcement learning agents as individuals
- Design algorithm for million-level multi-agent training; use Cython for parallelization & acceleration

### National Engineering Laboratory for Video Technology @ Peking University

Undergraduate Research Student (Advised by Prof. Zongqing Lu)

- Work on adversarial agent in multi-agent systems
- Extensively read papers in reinforcement learning, multi-agent systems and algorithmic game theory, and implement RL algorithms from scratch
- Write a survey on algorithmic game theory and reinforcement learning

### AILab @ Peking University

#### Undergraduate Research Student (Advised by Prof. Wenxin Li)

- Study Introduction to Reinforcement Learning and papers on Reinforcement Learning
- Program the judge for the game Tank2 on Botzone, an AI platform for education and the judge is extensively used for freshmen's course projects

## Industry Experience \_\_\_\_

### ByteDance US

Student Researc	her (Advised by Dr. Jied	cao Chen)		
<ul> <li>Working on bu</li> </ul>	ilding many-shot in-con	text learning benchmarks a	and supervised finetunin	g for LLM self-plan

### **Microsoft Research Asia**

#### Research Intern (Co-advised by Dr. Jie Yan and Dr. Chuan Luo)

- Design an prediction-and-optimization surrogate framework for linear/quadratic optimization problems with  $max(\cdot, 0)$  operator, where the parameterized objective needs to be predicted from data
- Awarded Stars of Tomorrow title

## Teaching Experience \_

### University of Illinois Urbana-Champaign

Teaching Assistant (Computational Photography)

Host Office hours every week, grade homework and set up midterm exam

Urbana, Illinois, US Sept 2021 - Current

Beijing, China Sept 2017 - Jun 2021

Urbana, Illinois, US

Sept 2021 - 15th May, 2026 (Estimated)

Remote Jun 2020 - Jan 2021

Beijing, China Jun 2019 - Feb 2020

Beijing, China Nov 2018 - Mar 2019

> San Jose, US Jun 2024 -

Beijing, China

Sept 2020 - Jun 2021

Urbana, Illinois, US Jan 2024 - May 2024

### **Peking University**

#### Teaching Assistant (Introduction to Computer Systems)

- Host a 2-hour seminar of 15 students each week, revising classes and introducing cutting-edge applications of the knowledge taught in class
- Teach how to write & organize lecture notes; grade homework every week

### **Publications & Preprints**

#### Conference

- Kai Yan, Alexander G. Schwing and Yuxiong Wang. Reinforcement Learning Gradients as Vitamin for Online Finetuning Decision Transformers. In NeurIPS, 2024. (Spotlight; top 2% submission)
- Andy Zhou, Kai Yan, Michal Shlapentokh-Rothman, Haohan Wang, Yuxiong Wang. Language Agent Tree Search Unifies Reasoning Acting and Planning in Language Models, In ICML, 2024. (Github > 700 Stars; integrated in LangChain; invited talk @ Autogen Discord)
- Kai Yan, Alexander G. Schwing and Yuxiong Wang. Offline Imitation from Observation via Primal Wasserstein State Occupancy Matching. In ICML, 2024.
- Kai Yan, Alexander G. Schwing and Yuxiong Wang. A Simple Solution for Offline Imitation from Observations and Examples with Possibly Incomplete Trajectories. In NeurIPS, 2023.
- Kai Yan, Alexander G. Schwing and Yuxiong Wang. CEIP: Combining Explicit and Implicit Priors for Reinforcement Learning with Demonstrations. In NeurIPS, 2022.
- Kai Yan, Jie Yan, Chuan Luo, Liting Chen, Qingwei Lin and Dongmei Zhang. A Surrogate Objective Framework for Prediction+Optimization with Soft Constraints. In NeurIPS, 2021.

### Preprints

- Zhan Ling, Kang Liu, **Kai Yan**, Yifan Yang, Weijian Lin, Ting-Han Fan, Lingfeng Shen, Zhengyin Du, Jiecao Chen. LongReason: A Synthetic Long-Context Reasoning Benchmark via Context Expansion. In submission to ACL ARR December, 2024.
- Kai Yan<sup>1</sup>, Zhenggang Tang<sup>1</sup>, Liting Sun, Wei Zhan, Changliu Liu. A Microscopic Pandemic Simulator for Pandemic Prediction Using Scalable Million-Agent Reinforcement Learning. arXiv:2108.06589, 2021.
- Kai Yan<sup>1</sup>, Yunlong Lu<sup>1</sup>. Algorithms in Multi-Agent Systems: A Holistic Perspective from Reinforcement Learning and Game Theory. arXiv:2001. 06487, 2020.

### Honors & Awards

Feb. 2023 Graduate College Conference Presentation Award, University of Illinois at Urbana-Champaign	Urbana, Illinois, US
Jul. 2021 Stars of Tomorrow, Microsoft Research Asia	Beijing, China
Nov. 2020 John Hopcroft Scholarship, Center on Frontiers of Computing Studies (CFCS) @ PKU	Beijing, China
Dec. 2019 National Scholarship & Merit Student, Peking University	Beijing, China
Jan. 2019 Meritorious Winner, International Mathematical Contest in Modeling (MCM)	Remote
Sept. 2018 Founder Scholarship & Merit Student, Peking University	Beijing, China
Apr. 2018 <b>2nd Award</b> , PKU ACM Campus	Beijing, China
Jul. 2016 Silver Medal, National Olympiad in Informatics (NOI)	Mianyang, China
May. 2016 Silver Award, Asian-Pacific Informatics Olympiad (APIO)	Beijing, China

### Services\_

#### Reviewer

- AAAI Conference on Artificial Intelligence (AAAI), 2025
- Transactions on Machine Learning Research (TMLR), 2024 (subreviewer)
- IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), 2023-2025
- Neural Information Processing Systems (NeurIPS), 2023-2024 (2024 Top 10% reviewer)
- International Conference on Learning Representations (ICLR), 2024-2025
- International Conference on Machine Learning (ICML), 2024
- European Conference on Computer Vision (ECCV), 2024

#### <sup>1</sup>Equal contribution.

### Misc.

• Vice President of the PKU EECS Student Union, 2019-2020

### Invited Talks\_\_\_\_\_

Oct. 2024 **Guest Lecturer on Agentic Foundation Models**, University of Michigan Sep. 2024 **Talks on Language Agent Tree Search (LATS)**, AutoGen Discord Channel

Online Online

### Skills\_\_\_\_\_

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Programming & Software
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Language

Python (Pytorch for deep learning, CVXPY / Gurobi / Google ORTools for optimization and Cython for highperformance), C/C++, Java, Matlab, HTML/CSS, JavaScript (Node.js), Qt, ﷺ, Microsoft Office, Wireshark English (Professional with TOEFL 117/120), Chinese (Native)